



2611  
CJC  
41

### CERTIFICATE OF MAILING

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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Technology Center 2000

on 11-1-04

Jeffrey R. Kuester

In Re Application of:

Hrastar, et al.

Confirmation No.: 9487

Serial No.: 09/929,760

Group Art Unit: 2611

Filed: August 14, 2001

Examiner: Salce, Jason P.

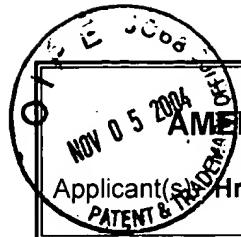
Docket No. A-7599 (191910-1111)

For: TWO-TIERED AUTHORIZATION AND AUTHENTICATION FOR A CABLE DATA DELIVERY SYSTEM

The following is a list of documents enclosed:

Return Postcard  
Response and Amendment to (Non-Final) Office Action  
Petition for Extension of Time (2 months)  
Fee Transmittal  
Amendment Transmittal  
Credit Card Authorization – authorizing \$430.00

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

**AMENDMENT TRANSMITTAL LETTER (LARGE)**

Applicant(s) Hrastar, et al.

Docket No.

A-7599 (191910-1111)

Serial No.  
09/929,760Filing Date  
August 14, 2002Examiner  
Jason P. SalceConfirmation No.  
9487Group Art Unit  
2611**Invention: Two-Tiered Authorization and Authentication for a Cable Data Delivery System**

Commissioner for Patents  
Mail Stop Amendment  
P.O. Box 1450  
Alexandria VA 22313-1450

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Transmitted herewith is Response in the above-identified application.

The fee has been calculated and is transmitted as shown below

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	47 -	47 =	0	X \$18.00	\$0.00
INDEP. CLAIMS	5 -	5 =	0	X \$88.00	\$0.00
Multiple Dependent Claims (check if applicable)				\$300.00	\$0.00
EXTENSION FEE	1 <sup>ST</sup> MONTH <input type="checkbox"/> \$110.00	2 <sup>ND</sup> MONTH <input checked="" type="checkbox"/> \$430.00	3 <sup>RD</sup> MONTH <input type="checkbox"/> \$980.00	4 <sup>TH</sup> MONTH <input type="checkbox"/> \$765.00	\$430.00
Other Fees:					\$
				<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>	<b>\$430.00</b>

No additional fee is required.  
 Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.  
 A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed. A duplicate copy of this page is enclosed.  
 A Credit Card Payment Form PTO-2038 is attached in the amount of \$430.00.  
 The Director is hereby authorized to charge any deficiencies of the above fees or credit any overpayment to Deposit Account No. 20-0778.

Jeffrey R. Kuester, Reg. No. 34,367

11-1-04

Date

NOV 05 2004  
PATENT & TRADEMARK OFFICE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revisions.

**AMERICAN** claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 430.00)

Complete If Known	
Application Number	09/929,760
Filing Date	August 14, 2001
First Named Inventor	Hrastar, et al.
Examiner Name	Salce, Jason P.
Group / Art Unit	2611
Attorney Docket No.	A-7599 (191910-1111)

**METHOD OF PAYMENT (check all that apply)**

Check  Credit Card  Money Order  Other  None

Deposit Account

Deposit Account Number

20-0778
Thomas, Kayden, Horstemeyer Risley, L.L.P.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEES CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 790	2001 395	Utility Filing Fee	
1002 350	2002 175	Design Filing Fee	
1003 550	2003 275	Plant Filing Fee	
1004 770	2004 385	Reissue Filing Fee	
1005 160	2005 80	Provisional Filing Fee	
<b>SUBTOTAL (1)</b>		<b>(\$0)</b>	

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Extra Claims	Fee From Below	Fee Paid
Total Claims	- 20** =	X 9.00 =
Independent Claims	- 3** =	X 44.00 =
Multiple Dependent		300.00 =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20.
1201 88	2201 44	Independent claims in excess of 3
1203 300	2203 150	Multiple dependent claim, if not paid
1204 88	2204 44	**Reissue independent claims over original patent
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$0)</b>

\*\*or number previously paid, if greater; For Reissues, see above

**FEES CALCULATION (continued)**

**3. ADDITIONAL FEES**

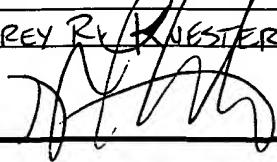
**Large Entity** **Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge-late filing fee or oath	
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	430.00
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	340	2403	170	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive-unavoidable	
1453	1,370	2453	685	Petition to revive-unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee for provisional application	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each add. invention to be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited exam. of a design application	
<b>Other fee (specify)</b>				<b>SUBTOTAL (3)</b>	<b>(\$ 430.00)</b>

\*Reduced by Basic Filing Fee Paid

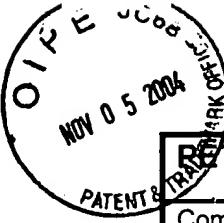
SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Signature	JEFFREY R. HESTER 	Registration No. 34,367	Telephone Number	770-933-9500
			Date	11-2-04

**WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 USC 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**REQUEST FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)**Docket No.  
**A-7599 (191910-1111)**

Commissioner for Patents  
Mail Stop Amendment  
P.O. Box 1450  
Alexandria, VA 22313-1450

In re Application of: **Hrastar, et al.**Application Number: **09/929,760** Filed: **August 14, 2001**For: **Two-Tiered Authorization and Authentication for a Cable Data Delivery System**Group Art Unit: **2611**Examiner: **Jason P. Salce**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

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The requested extension and appropriate non-small-entity fee are as follows:  
(check time period desired):

**Technology Center 2600**

<input type="checkbox"/>	One month (37 CFR 1.17(a)(1))	\$ 110.00
<input checked="" type="checkbox"/>	Two months (37 CFR 1.17(a)(2))	\$ 430.00
<input type="checkbox"/>	Three months (37 CFR 1.17(a)(3))	\$ 980.00
<input type="checkbox"/>	Four months (37 CFR 1.17(a)(4))	\$ 1,530
<input type="checkbox"/>	Five months (37 CFR 1.17(a)(5))	\$ 2,080.00

Payment of the Extension of Time appears in the enclosed Amendment Transmittal Letter. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account pursuant to 37 CFR 1.25.

**- OR -**

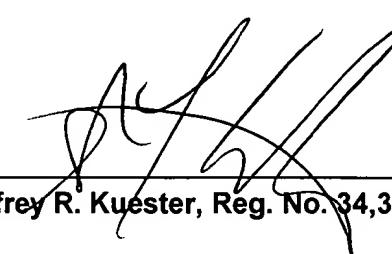
Payment is enclosed as follows:

- A check in the amount of \_\_\_\_\_ enclosed.
- Payment by credit card. Form PTO-2038 is attached in the amount of 430.00 (\$430.00 for 2 mo. EOT)
- The Commissioner has already been authorized to charge \_\_\_\_\_ to a Deposit Account
- The Commissioner is hereby authorized to charge any deficiencies in fees, or credit any overpayment, to Deposit Account No. 20-0778.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

11-1-04

Date

  
**Jeffrey R. Kuester, Reg. No. 34,367**